

CADARET GRANT

NetExchange Client™ Registration Form

INSTRUCTIONS:

- ▶ Client: Complete both sides of application
- ▶ Client: Remember to sign your completed application on page two.
- ▶ Cadaret, Grant Representative: Fax completed application or remit with payment to Business Development (Fax: 315.475.6550, Address: One Lincoln Center, Syracuse, NY 13202)

ACCOUNT NUMBERS:

List all account numbers with an optional description of the account type to help you differentiate the multiple accounts once you are logged in to NetExchange Client™ (for example, *retail account* or *retirement account*). Please be sure to list all account numbers associated with your Social Security Number or Tax ID.

Account number

Account registration

Account number

Account registration

Account number

Account registration

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Account number

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USER IDENTIFICATION (ID):

The User ID you select must be between four and ten characters long. Please provide three optional User IDs, in case your first or second choice is already taken. A User ID is not needed for each account; all accounts will be linked to the same User ID. Your Cadaret, Grant Representative will notify you of which User ID was assigned, or indicate any modifications that were necessary.

Potential User ID (1st choice)

Potential User ID (2nd choice)

Potential User ID (3rd choice)

PAYMENT INFORMATION:

The cost of this service is \$30 per year per User ID (*not per account*). Payment is due at the time of registration and each year on the anniversary of the registration. The cost may be applied to your Pershing account (*not valid for accounts with automatic dividend reinvestment*) or paid for with a check made payable to Cadaret, Grant & Co., Inc. and submitted to your Cadaret, Grant Representative. Please check the payment method you prefer:

Please bill my Pershing account*

Check is enclosed.

*If you have more than one Pershing account, please indicate which account number you would like us to bill for the NetExchange Client™ service: _____

(over, please)

ACCOUNT INFORMATION:

Date of birth

Social Security Number or Tax ID

Mother's maiden name (for password)

E-mail address

Signature and mailing address:
All account owners must sign below.

Name (please print)

Signature

Name (please print)

Signature

Name (please print)

Signature

Street address

City, State, and Zip Code

NetExchange Client™ is provided by Cadaret, Grant & Co., Inc. with clearing provided by Pershing Division of Donaldson, Lufkin & Jenrette Securities Corporation.

Application may take 2–3 business days to process.

FOR HOME OFFICE USE ONLY:

Date

Entitlement provided by

Cadaret, Grant Representative

Representative's number